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Docket 47454-9

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** COTE, Cameron A.

Group Art Unit: 3754

Serial No.: 10/707,156

Examiner: Not Known

For: BOTTLE CAP

## INFORMATION DISCLOSURE STATEMENT

- ☒ Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Honorable Commissioner of Patents and Trademarks,  
Washington, D.C. 20231,  
U.S.A.

**Sir:**

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- [XX]5 A list of documents on form PTO/SB/08A together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ [XX]6      37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ [7]      37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ [8]      The required certification made in item 11 below; or
- ☐ [9]      The \$210.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

☐ [10]    37 CFR §1.97(d): [before issue fee payment]; and

- (a)    This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"); and
- (b)    The required Certification is stated in item 11 below.

☐ [11]    Certification

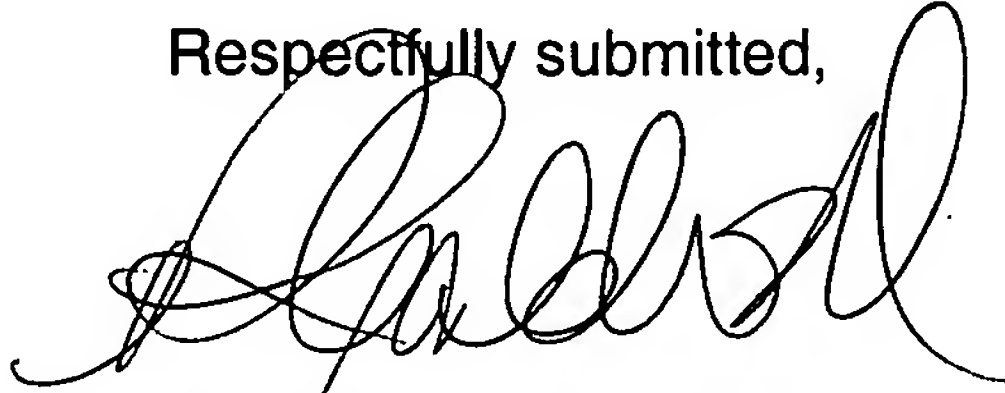
☐ [12]      Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ [13]      No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

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Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 02-2057. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

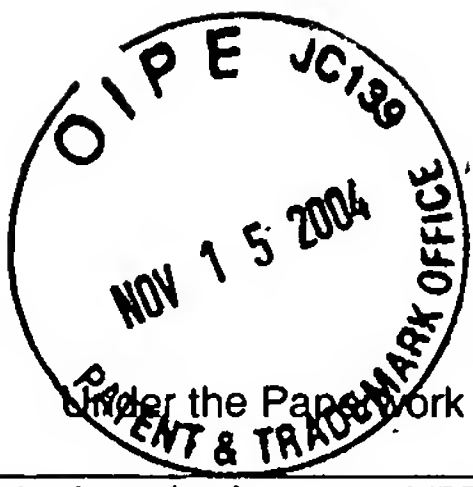
A handwritten signature in black ink, appearing to read "Roseann B. Caldwell", written over the typed name.

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Date: Nov 11/04



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/707,156
				Filing Date	November 24, 2003
				First Named Inventor	COTE, Cameron A.
				Art Unit	3754
				Examiner Name	Not Known
Sheet	1	of	1	Attorney Docket Number	47454-9

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-4,924,921	05-15-1990	Simmel et al.	
		US-6,378,742	04-30-2002	Rohr et al.	
		US-5,431,205	07-11-1995	Gebhard	
		US-3,540,402	10-29-1968	Kocher	
		US-5,676,186	10-14-1997	Vanderploeg	
		US-2,476,587	07-19-1949	Doblin	
		US-5,755,269	05-26-1998	Venooker et al.	
		US-5,758,700	06-02-1998	Vanderploeg	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For the Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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